

Form 1449 (Modified)
Information Disclosure Statement By Applicant
 (Use Several Sheets if Necessary)

U.S. PATENT & TRADEMARK OFFICE
 MAY 28 1999
 JC33

Applicant: Handel et al.
 Filing Date: November 19, 1998

Serial No.: 09/196,338
 Group 2761

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
MM	A	5,454,106	9-26-95	Burns et al.			
MM	B	5,555,169	9-10-96	Namba et al.			
MM	C	5,692,194	11-25-97	Nguyen et al.			
MM	D	5,694,592	12-2-97	Driscoll			
MM	E	5,778,181	7-7-98	Hidary et al.			
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 Group 2700

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
MM	L	EP 0441798 B1	11-5-97	EUROPE				
	M	WO 98/07103	2-19-98	WIPO				
	N	WO 98/41913	9-24-98	WIPO				
	O	EP 0537098 B1	1-13-99	EUROPE				
MM	P	WO 99/01829	1-14-99	WIPO				

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Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
MM	R	FIREFLY'S INTELLIGENT AGENTS COULD END UP IN EXPLORER; Computergram International, 1998.
MM	S	Mehran Sahami, Salim Yusufali, Michelle Q. W. Baldonado; SONIA: A Service for Organizing Networked Information Autonomously; Proceedings of the third ACM Conference on Digital libraries, pp. 200-209; 1998.
MM	T	Keith L. Clark, Vasilios S. Lazarou; A Multi-Agent System for Distributed Information Retrieval on the World Wide Web; Proceedings, Sixth IEEE Workshops on Enabling Technologies: Infrastructure for Collaborative Enterprises; June 18-20, 1997.
Examiner M. Keng		Date Considered 6/29/01

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Priority Docket No. AND1P029 Applicant: Handel et al. Filing Date: November 19, 1998 Serial No.: 09/196,338 Group 2761
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Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
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<i>[Signature]</i>	R	Terry R. Payne, Peter Edwards, Claire L. Green; Experience with Rule Induction and k-Nearest Neighbor Methods for Interface Agents that Learn; IEEE Transactions in Knowledge and Data Engineering, Vol. 9, No. 2; March-April 1997.
<i>[Signature]</i>	S	Niel Robertson; A PERSONALIZED WEB: New technologies enable Web sited to better serve their visitors by gauging users' unique needs and customizing the delivery of content; Internet World, April 1997.
<i>[Signature]</i>	T	Bum Ryeol Yoon, Jae Jeong You, Soo Dong Kim; COPEN: A CORBA-Based Intelligent Push-engine; Proceedings 1998 Asia Pacific Software Engineering Conference; IEEE Computer Society; December 2-4, 1998.
Examiner <i>[Signature]</i>		Date Considered 6/29/01

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Attorney Docket No. ANDIP029

Serial No.: 09/196,338

Applicant: Handel et al.

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Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
<i>MM</i>	R	M. Montebello, W. A. Gray, S. Hurley; An Evolvable Personal Advisor to optimize Internet Search Technologies; Proceedings, Database and Expert Systems Applications, 9 th International Conference, 1998.
<i>MM</i>	S	Don Crabb; Active assistance taken to its logical potential; MacWEEK, Vol. 8, No. 38; September 26, 1994.
<i>MM</i>	T	L. J. Bannon; Problems in Human-Machine Interaction and Communication; Design of Computing Systems: Social and Ergonomic Considerations; Proceedings of the Seventh International Conference on Human-Computer Interaction; Vol. 2, August 24-29, 1997.
Examiner <i>M. Kung</i>		Date Considered <i>6/29/01</i>

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Atty. Bracket No. 1998 D1P029

Applicant: Handel et al.

Filing Date: November 19, 1998

Serial No.: 09/196,338

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Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
<i>ML</i>	R	Scott E. Sampson; EMPLOYING INTERNET TECHNOLOGIES TO GATHER CUSTOMER QUALITY PERCEPTIONS; Proceedings, Annual meeting of the Decision Sciences Institute, Vol. 3; 1998.
<i>AME</i>	S	Jac-Hyun Lim, Hyon-Woo Seung, Jun Hwang, Young-Chan Kim, Heung-Nam Kim; Query Expansion for Intelligent Information Retrieval on Internet; Proceedings of the 1997 International Conference on Parallel and Distributed Systems; December 10-13, 1997; IEEE Computer Society.
<i>ML</i>	T	Katashi Nagao, Jun Rekimoto; Agent Augmented Reality: A Software Agent Meets the Real World; ICMAS-96.
Examiner <i>M. K.</i>	Date Considered <i>6/29/01</i>	

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Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
M	R	E. S. Lee, R. Okada, I. G. Jeon; Agent-based Support for Personalized Information with Web Search Engines; Design of Computing Systems: Cognitive Considerations; Proceedings of the Seventh International Conference on Human-Computer Interaction; Vol. 1, August 24-29, 1997.
M	S	Richard V. Dragan; FUTURE AGENT SOFTWARE: Intelligent software will personalize the Web and make it work for you; PC Magazine, March 25, 1997.
M	T	Scott M. Brown, Eugene Santos Jr., Sheila B. Banks; Utility Theory-Based User Models for Intelligent Interface Agents; Advances in Artificial Intelligence; 12 th Biennial Conference of the Canadian Society for Computational Studies of Intelligence; June 18-20, 1998
Examiner M. K...		Date Considered 6/29/99

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Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty Docket No. 2AND1P029	Serial No.: 09/196,338
	Applicant: Handel et al.	Group 2761
Filing Date: November 19, 1998		

U.S. Patent Documents

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	M							
	N							
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Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
<i>M</i>	R	Chungnan Lee, Yao-tsung Chen; An Embedded Visual Programming Interface for Intelligent Information Retrieval on the Web; Proceedings, 1997 IEEE Knowledge and Data Engineering Exchange Workshop; IEEE Computer Society, November 4, 1997.
<i>M</i>	S	Z. Chen, Q. Zhu; Exploring agent-based data mining refinement cycle; Proceedings of the Second International Conference on the Practical Application of Knowledge Discovery and Data Mining, 1998.
<i>M</i>	T	Scott E. Sampson; Gathering customer feedback via the Internet: Instruments and prospects; Industrial Management & Data Systems, Vol. 98, No. 2, 1998.
Examiner <i>M</i>		Date Considered 6/29/01

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